



Community Development  
Department  
**Planning & Housing Division**

## Memo

**To:** Deanna Vazquez La Croix, Executive Assistant to the City Manager  
**From:** Cindy Avila, Housing & Grants Specialist  
**CC:** Raisa Sanchez, Secretary  
**Date:** January 8, 2019  
**Re:** HUD Grant Agreements

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The U.S. Department of Housing and Urban Development (“HUD”) grant agreements for Community Development Block Grant (“CDBG”) and Home Investment Partnership Program (“HOME”) are attached. These two grant agreements are for fiscal year 2018 and were approved by City Council through the fiscal year 2018-2019 Annual Action Plan on April 23, 2018, by resolution number 15656.

There are two original CDBG and two original HOME grant agreements that need the City Manager’s signature. These are HUD agreements and **NO ATTEST OR NOTARY** are necessary.

**Once the agreements are signed please contact myself or Raisa for pick up.**

Please contact me with any questions.

Thank you,  
Cindy  
x7229



**MINUTE ORDER**  
**JOINT CITY COUNCIL / SUCCESSOR AGENCY BOARD**  
**PUBLIC FINANCING AUTHORITY MEETING**

**April 23, 2018**  
**MO. 18-066**

CITY CLERK DEPARTMENT  
Redwood City

Date: April 24, 2018

Attention: City Attorney  
Community Development Director  
\*\*hard copy available upon request\*\*

**SUBJECT: 2018-2022 Consolidated Plan and Fiscal Year 2018/19 Annual Action Plan for the Community Development Block Grant (CDBG) and HOME Investment Partnership (HOME) Programs**

**AGENDA ITEM: 7.A (454)**

Meeting of the Joint City Council/Successor Agency Board/Public Financing Authority Meeting on April 23, 2018.


Present: Council Members, Aguirre, Borgens, Gee, Masur, Seybert, Vice Mayor Howard, and Mayor Bain

M/S Howard/Borgens to adopt a resolution to approve the 2018-2022 Consolidated Plan/Annual Action Plan and authorize the City Manager to execute and file documents submitting the Plan to the U.S. Department of Housing and Urban Development (HUD), and to authorize the City Manager to execute funding agreements with organizations identified in the Annual Action Plan.

- 1.) Adopt a resolution to approve the 2018-2022 Consolidated Plan/Annual Action Plan and authorize the City Manager to execute and file documents submitting the Plan to the U.S. Department of Housing and Urban Development (HUD); and
- 2.) By motion, authorize the City Manager to execute funding agreements with organizations identified in the Annual Action Plan.

RESOLUTION NO. 15656 OF THE CITY COUNCIL OF THE CITY OF REDWOOD CITY APPROVING CONSOLIDATED PLAN (2018-2022) AND ANNUAL ACTION PLAN (2018-2019) AND APPLICATION FOR FEDERAL ASSISTANCE (COMMUNITY DEVELOPMENT BLOCK GRANT AND HOME INVESTMENT PARTNERSHIP FUNDS); CERTIFYING THAT THE CITY HAS FOLLOWED ITS ADOPTED COMMUNITY PARTICIPATION PLAN; AND AUTHORIZING EXECUTION AND FILING OF ALL DOCUMENTS PERTAINING THERETO (FISCAL YEAR 2018-2019).

**Motion carried unanimously by electronic vote.**



Pamela Aguilar, CMC  
City Clerk



# Funding Approval/Agreement

Title I of the Housing and Community Development Act (Public Law 930383)  
 HI-00515R of 20515R

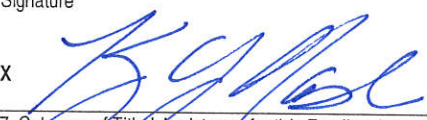

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Community Development Block Grant Program

OMB Approval No. 2506-0193  
 exp 5/31/2018

## Replacement Copy

1. Name of Grantee (as shown in item 5 of Standard Form 424) City Of Redwood City	3a. Grantee's 9-digit Tax ID Number 946001116	3b. Grantee's 9-digit DUNS Number 077372423
2. Grantee's Complete Address (as shown in item 5 of Standard Form 424)  1017 Middlefield Road Redwood City, CA 94063-1993	4. Date use of funds may begin 07/01/2018	
	5a. Project/Grant No. 1 B-18-MC-06-0014	6a. Amount Approved \$715,572.00
	5b. Project/Grant No. 2	6b. Amount Approved

**Grant Agreement:** This Grant Agreement between the Department of Housing and Urban Development (HUD) and the above named Grantee is made pursuant to the authority of Title I of the Housing and Community Development Act of 1974, as amended, (42 USC 5301 et seq.). The Grantee's submissions for Title I assistance, the HUD regulations at 24 CFR Part 570 (as now in effect and as may be amended from time to time), and this Funding Approval, including any special conditions, constitute part of the Agreement. Subject to the provisions of this Grant Agreement, HUD will make the funding assistance specified here available to the Grantee upon execution of the Agreement by the parties. The funding assistance specified in the Funding Approval may be used to pay costs incurred after the date specified in item 4 above provided the activities to which such costs are related are carried out in compliance with all applicable requirements. Pre-agreement costs may not be paid with funding assistance specified here unless they are authorized in HUD regulations or approved by waiver and listed in the special conditions to the Funding Approval. The Grantee agrees to assume all of the responsibilities for environmental review, decision making, and actions, as specified and required in regulations issued by the Secretary pursuant to Section 104(g) of Title I and published in 24 CFR Part 58. The Grantee further acknowledges its responsibility for adherence to the Agreement by sub-recipient entities to which it makes funding assistance hereunder available.

U.S. Department of Housing and Urban Development (By Name) Kimberly Y. Nash	Grantee Name City Of Redwood City
Title CPD Director	Title
Signature 	Signature 
Date (mm/dd/yyyy) DEC 19 2018	Date (mm/dd/yyyy) 01/09/2019

7. Category of Title I Assistance for this Funding Action: Entitlement, Sec 106(b)	8. Special Conditions (check one) <input type="checkbox"/> None <input checked="" type="checkbox"/> Attached	9a. Date HUD Received Submission 07/20/2018	10. check one <input checked="" type="checkbox"/> a. Orig. Funding Approval <input type="checkbox"/> b. Amendment Amendment Number
		9b. Date Grantee Notified 09/12/2018	
		9c. Date of Start of Program Year (07/01/2018)	
11. Amount of Community Development Block Grant			
	FY (2018)	FY (2017)	FY ( )
a. Funds Reserved for this Grantee	\$715,516.00	\$ 56.00	
b. Funds now being Approved			
c. Reservation to be Cancelled (11a minus 11b)			

12a. Amount of Loan Guarantee Commitment now being Approved N/A	12b. Name and complete Address of Public Agency N/A
<b>Loan Guarantee Acceptance Provisions for Designated Agencies:</b> The public agency hereby accepts the Grant Agreement executed by the Department of Housing and Urban Development on the above date with respect to the above grant number(s) as Grantee designated to receive loan guarantee assistance, and agrees to comply with the terms and conditions of the Agreement, applicable regulations, and other requirements of HUD now or hereafter in effect, pertaining to the assistance provided it.	12c. Name of Authorized Official for Designated Public Agency N/A
	Title N/A
	Signature N/A

### HUD Accounting use Only

Batch	TAC	Program	Y	A	Reg	Area	Document No.	Project Number	Category	Amount	Effective Date (mm/dd/yyyy)	F
	153											
	176											
			Y					Project Number		Amount		
			Y					Project Number		Amount		

Date Entered PAS (mm/dd/yyyy)	Date Entered LOCCS (mm/dd/yyyy)	Batch Number	Transaction Code	Entered By	Verified By
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8. Special Conditions.

- (a) The period of performance for the funding assistance specified in the Funding Approval (“Funding Assistance”) shall begin on the date specified in item 4 and shall end on September 1, 2025. The Grantee shall not incur any obligations to be paid with such assistance after September 1, 2025.
- (b) The Recipient shall attach a schedule of its indirect cost rate(s) in the format set forth below to the executed Agreement that is returned to HUD. The Recipient shall provide HUD with a revised schedule when any change is made to the rate(s) described in the schedule. The schedule and any revisions HUD receives from the Recipient shall be incorporated herein and made a part of this Agreement, provided that the rate(s) described comply with 2 CFR part 200, subpart E.

Administering Department/Agency	Indirect cost rate	Direct Cost Base
_____	_____ %	_____
_____	_____ %	_____
_____	_____ %	_____
_____	_____ %	_____
_____	_____ %	_____

**Instructions:** The Recipient must identify each agency or department of the Recipient that will carry out activities under the grant, the indirect cost rate applicable to each department/agency (including if the de minimis rate is used per 2 CFR §200.414(f)), and the type of direct cost base to which the rate will be applied (for example, Modified Total Direct Costs (MTDC)). Do not include indirect cost rates for subrecipients.

- (c) In addition to the conditions contained on form HUD 7082, the grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Dun and Bradstreet Data Universal Numbering System (DUNS); the System for Award Management (SAM.gov.); the Federal Funding Accountability and Transparency Act as provided in 2 CFR part 25, Universal Identifier and General Contractor Registration; and 2 CFR part 170, Reporting Subaward and Executive Compensation Information.
- (d) The grantee shall ensure that no CDBG funds are used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use. For the purposes of this requirement, public use shall not be construed to include economic development that primarily benefits private entities. Any use of funds for mass transit, railroad, airport, seaport or highway projects as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfield as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.
- (e) The Grantee or unit of general local government that directly or indirectly receives CDBG funds may not sell, trade, or otherwise transfer all or any such portion of such funds to another such entity in exchange for any other funds, credits or non-Federal considerations, but must use such funds for activities eligible under title I of the Act.
- (f) E.O. 12372-Special Contract Condition - Notwithstanding any other provision of this agreement, no funds provided under this agreement may be obligated or expended for the planning or construction of water or sewer facilities until receipt of written notification from HUD of the release of funds on completion of the review procedures required under Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs, and HUD's implementing regulations at 24 CFR Part 52. The recipient shall also complete the review procedures required under E.O. 12372 and 24 CFR Part 52 and receive written notification from HUD of the release of funds before obligating or expending any funds provided under this agreement for any new or revised activity for the planning or construction of water or sewer facilities not previously reviewed under E.O. 12372 and implementing regulations.
- (g) CDBG funds may not be provided to a for-profit entity pursuant to section 105(a)(17) of the Act unless such activity or project has been evaluated and selected in accordance with Appendix A to 24 CFR 570 - “Guidelines and Objectives for Evaluating Project Costs and Financial Requirements.” (Source - P.L. 113-235, Consolidated and Further Continuing Appropriations Act, 2015, Division K, Title II, Community Development Fund).

# Funding Approval and HOME Investment Partnerships Agreement

Title II of the National Affordable Housing Act

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development



## REPLACEMENT COPY

1. Participant Name and Address  City Of Redwood City 1017 Middlefield Road Redwood City, CA 94063-1993			2. Grant Number: M18-MC060235		
			3a Tax Identification Number: 946001116	3b. Unique Entity Identifier (formerly DUNS): 077372423	
			4. Appropriation Number 86 8/1 0205	5. FY (yyyy) 2018	
6. Previous Obligation (Enter "0" for initial FY allocation)					\$0.00
a. Formula Funds				\$321,488.00	
b. Community Housing Development Org. (CHDO) Competitive				\$	
7. Current Transaction (+ or -)					\$321,488.00
a. Formula Funds				\$321,488.00	
1. CHDO (For deobligations only)				\$	
2. Non- CHDO (For deobligations only)				\$	
b. CHDO Competitive Reallocation or Deobligation				\$	
8. Revised Obligation					\$
a. Formula Funds				\$	
b. CHDO Competitive Reallocation				\$	
9. Special Conditions (check applicable box)			10. Date of Obligation (Congressional Release Date)		
<input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> Attached			09/12/2018		
11. Indirect Cost Rate*			12. Period of Performance:		
<u>Administering Agency/Dept.</u>	<u>Indirect Cost Rate</u>	<u>Direct Cost Base</u>	Date in Box #10 - 09/01/2026		
—	—%		* If funding assistance will be used for payment of indirect costs pursuant to 2 CFR 200, Subpart E-Cost Principles, provide the name of the department/agency, its indirect cost rate (including if the de minimis rate is charged per 2 § CFR 200.414), and the direct cost base to which the rate will be applied. Do not include cost rates for subrecipients.		
—	—%				
—	—%				
—	—%				

This Agreement between the Department of Housing and Urban Development (HUD) and the Participating Jurisdiction/Entity is made pursuant to the authority of the HOME Investment Partnerships Act (42 U.S.C. 12701 et seq.). The Participating Jurisdiction's /Entity's approved Consolidated Plan submission/Application and the HUD regulations at 24 CFR Part 92 (as is now in effect and as may be amended from time to time) and this HOME Investment Partnership Agreement, form HUD-40093, including any special conditions, constitute part of this Agreement. Subject to the provisions of this Agreement, HUD will make the funds for the Fiscal Year specified, available to the Participating Jurisdiction/Entity upon execution of this Agreement by the parties. All funds for the specified Fiscal Year provided by HUD by formula reallocation are covered by this Agreement upon execution of an amendment by HUD, without the Participating Jurisdiction's execution of the amendment or other consent. HUD's payment of funds under this Agreement is subject to the Participating Jurisdiction's/Entity's compliance with HUD's electronic funds transfer and information reporting procedures issued pursuant to 24 CFR 92.502. To the extent authorized by HUD regulations at 24 CFR Part 92, HUD may, by its execution of an amendment, deobligate funds previously awarded to the Participating Jurisdiction/Entity without the Participating Jurisdiction's/Entity's execution of the amendment or other consent. The Participating Jurisdiction/Entity agrees that funds invested in affordable housing under 24 CFR Part 92 are repayable when the housing no longer qualifies as affordable housing. Repayment shall be made as specified in 24 CFR Part 92. The Participating Jurisdiction agrees to assume all of the responsibility for environmental review, decision making, and actions, as specified and required in regulation at 24 CFR 92.352 and 24 CFR Part 58.

The Grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Universal Numbering System and System for Award Management (SAM) requirements in Appendix A to 2 CFR part 25, and the Federal Funding Accountability and Transparency Act (FFATA) in Appendix A to 2 CFR part 170.

The Period of Performance for the funding assistance shall begin on the date specified in item 12 and shall end on September 1<sup>st</sup> of the 5<sup>th</sup> fiscal year after the expiration of the period of availability for obligation. Funds remaining in the account will be cancelled and thereafter not available for obligation or expenditure for any purpose. Per 31 U.S.C. 1552. The grantee shall not incur any obligations to be paid with such assistance after the end of the Period of Performance.

13. For the U.S. Department of HUD (Name and Title of Authorized Official) Kimberly Y. Nash - HUD Community Planning and Development Director	14. Signature 	15. Date 09/12/2018
16. For the Participating Jurisdiction/Entity (Name and Title of Authorized Official) Melissa Stevenson Diaz, City Manager	17. Signature 	18. Date 01/09/2019

19. Check one:

Initial Agreement     Amendment #

20. Funding Information: <i>HOME</i>			
<u>Source of Funds</u>	<u>Appropriation Code</u>	<u>PAS Code</u>	<u>Amount</u>
2018	86 8/1 0205	HMF	\$321,123.00
2017	86 7/0 0205	HMF	\$ 95.00
2016	86 6/9 0205	HMF	\$ 270.00

